



UNITED STATES PATENT AND TRADEMARK OFFICE

3676  
COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, DC 20231  
www.uspto.gov

APPLICATION NUMBER	FILING OR 371(c) DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/855,001	05/14/2001	Michael R. Oldenburg	14036

CONFIRMATION NO. 1209

25763  
DORSEY & WHITNEY LLP  
INTELLECTUAL PROPERTY DEPARTMENT  
50 SOUTH SIXTH STREET  
MINNEAPOLIS, MN 55402-1498



\*OC000000010915075\*

Date Mailed: 09/24/2003

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

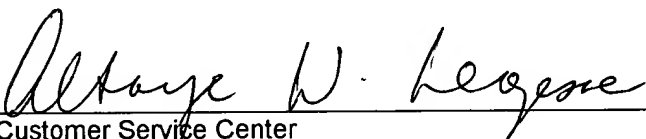
- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application.
- ☐ A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:  
**Country, Application number, Filing date.**
- ☐ This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.
- ☐ The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or foreign priority.

#18  
CFR  
AW  
12-23-03

☐ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

☒ **Another amendment or Application Data Sheet is needed to make this change.**  
**09/401,570 filed 09/22/1999**



Customer Service Center  
Office of Initial Patent Examination  
(703) 308-1202

## DORSEY &amp; WHITNEY LLP

MINNEAPOLIS  
SEATTLE  
NEW YORK  
WASHINGTON, D.C.  
DENVER  
LONDON  
SOUTHERN CALIFORNIA  
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ANCHORAGE

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## FAX COVER SHEET

The information contained in this facsimile message, if a client of this firm is a named addressee, or the message is otherwise intended for a client, is presumptively legally privileged and confidential information. If you are not a named addressee, or if there is any reason to believe that you may have received this message in error, (1) do not read the message below; (2) do not distribute or copy this facsimile; and (3) please immediately call us collect at the number of the sender below.

DATE: **September 16, 2003** TOTAL # OF PAGES (INCLUDING THIS COVER SHEET): **7**  
TO: **OIPE/Customer Service** FAX #: **703.746.9195**  
FIRM NAME: **United States Patent and Trademark Office** TELEPHONE #: **703.308.1202**

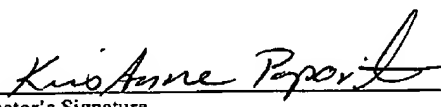
FROM: **KrisAnne Popovits** FAX #: **612.340.8856**  
**Paralegal/Intellectual Property**  
TELEPHONE #: **612.343.7965** EMAIL: **popovits.kris.anne@dorsey.com**

COMMENTS:

As a follow-up, we have not received the corrected filing receipt. A Request For Corrected Filing Receipt was filed with the U.S. Patent and Trademark Office on November 20, 2002. Thank you.

Attached please find: Copy of Return Receipt Postcard date stamped "NOV 25 2002" from the OIPE (1 page);  
Copy of Transmittal Letter originally filed 11/20/2002 (1 page);  
Copy of Fee Transmittal originally filed 11/20/2002 (1 page);  
Copy of Request for Corrected Filing Receipt originally filed 11/20/2002 (2 pages); and  
Copy of Filing Receipt with Correction Marked (1 page)

First Named Inventor: **Michael R. Oldenburg**  
Client Name: **Transcom, Inc.**  
Application No.: **09/855,001**  
Filing Date: **May 14, 2001**  
Application Title: **Retrofittable Severe Duty Seal For A Shaft**  
Attorney/LA Initials: **DVP/kap**  
Faxed: **16 September 2003**  
Our Dkt No.: **14036**

  
Originator's Signature

Original will be sent via: ☐ Mail ☐ E-Mail ☐ Messenger ☐ Air Courier ☒ Will not be sent

PLEASE CONTACT KRISANNE POPOVITS AT (612) 343-7965 IF THIS TRANSMISSION IS INCOMPLETE OR CANNOT BE READ.

Reference # 7421/456248-7

*The mail stamp of the United States Patent and Trademark Office on this return receipt postcard acknowledges the filing on the date stamped of the following:*

Transmittal Letter (1 page); Request for Corrected Filing Receipt (2 pages); Copy of Official Filing Receipt with Correction Marked In Red (1 page); Fee Transmittal (1 page); Check No. 934517 in the amount of \$25.00; Return Receipt Postcard

**Via First Class Mail**  
**with Certificate of Mailing**

First Named Inventor: Michael R. Oldenburg  
Client Name: Transcom, Inc.  
Application No.: 09/855,001  
Filing Date: May 14, 2001  
Application Title: Retrofittable Severe Duty Seal For A Shaft  
Attorney/Paralegal Initials: DGC/kap  
Mailed: 20 November 2002  
Docket No.: 14036

DEC 02 2002



**RECEIVED**

**OCT 06 2003**

**GROUP 3600**

*Admitted to the Bar*

Docket: 14036

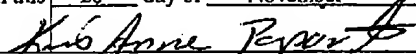
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	<b>RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT</b>	Group Art Unit: 3676

## TRANSMITTAL LETTER

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 20 day of November, 2002.

  
KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Request for Corrected Filing Receipt (2 pages);
2. Copy of Filing Receipt with Correction Marked in Red (1 page);
3. Fee Transmittal (1 page);
4. Check No. 934517 in the amount of \$25 to cover correction fee; and
5. Return Receipt Postcard.

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 11/20/02

By: 

Daniel G. Chapik  
Reg. No. 43,424  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 343-7955

# **APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2002)**

Complete if Known	
Application No.	09/85-001
Filing Date	May 14, 2001
First Named Inventor	Michael R. Oldenburg
Group Art Unit	3626
Examiner Name	V. Patel
Atty. Docket Number	14036

METHOD OF PAYMENT (Check One)		FEE CALCULATION (Continued)																																																																																					
1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to: Deposit Account No.: <u>04-1420</u> Deposit Account Name: <u>DORSEY &amp; WHITNEY LLP</u>  <input checked="" type="checkbox"/> Charge any additional fee required under 37 C.F.R. 1.16 and 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status (see 37 C.F.R. 1.27) 2. <input checked="" type="checkbox"/> Check Enclosed		<b>3. ADDITIONAL FEES</b>																																																																																					
<b>FEE CALCULATION</b> <b>1. BASIC FILING FEE</b>		<table border="1"> <tr> <th>Small Entity Fee</th> <th>Large Entity Fee</th> <th>Description</th> </tr> <tr> <td>50</td> <td>25</td> <td>Surcharge - late provisional filing fee or cover sheet</td> </tr> <tr> <td>130</td> <td>65</td> <td>Surcharge - Late nonprovisional filing fee or oath</td> </tr> <tr> <td>180</td> <td>180</td> <td>Submission of IDS</td> </tr> <tr> <td>40</td> <td>40</td> <td>Recording each patent assignment per property (times number of properties)</td> </tr> <tr> <td>110</td> <td>55</td> <td>Extension for reply within first month</td> </tr> <tr> <td>400</td> <td>200</td> <td>Extension for reply within second month</td> </tr> <tr> <td>920</td> <td>460</td> <td>Extension for reply within third month</td> </tr> <tr> <td>1,440</td> <td>720</td> <td>Extension for reply within fourth month</td> </tr> <tr> <td>1,960</td> <td>980</td> <td>Extension for reply within fifth month</td> </tr> <tr> <td>740</td> <td>370</td> <td>Submission After Final 1.129</td> </tr> <tr> <td>320</td> <td>150</td> <td>Notice of Appeal</td> </tr> <tr> <td>320</td> <td>150</td> <td>Filing a brief in support of an appeal</td> </tr> <tr> <td>280</td> <td>140</td> <td>Request for oral hearing</td> </tr> <tr> <td>110</td> <td>55</td> <td>Terminal Disclaimer Fee</td> </tr> <tr> <td>130</td> <td>130</td> <td>Petitions to the Commissioner</td> </tr> <tr> <td>50</td> <td>50</td> <td>Petitions related to provisional applications</td> </tr> <tr> <td>1,280</td> <td>640</td> <td>Utility/Reissue Issue Fee (including advance copies)</td> </tr> <tr> <td>460</td> <td>230</td> <td>Design Issue Fee (Inc. advance copies)</td> </tr> <tr> <td>740</td> <td>370</td> <td>Request for Continued Examination (RCE)</td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for early, voluntary, or normal publication</td> </tr> <tr> <td>300</td> <td>300</td> <td>Publication fee for re-publication</td> </tr> <tr> <td>110</td> <td>55</td> <td>Petition to Revive - unavoidable</td> </tr> <tr> <td>1,280</td> <td>640</td> <td>Petition to Revive - unintentional</td> </tr> <tr> <td>OTHER FEE</td> <td>\$25.00:</td> <td>Request for Corrected Filing Receipt</td> <td>\$25.00</td> </tr> <tr> <td colspan="2"><b>Subtotal (3)</b></td> <td colspan="2"><b>\$25.00</b></td> </tr> <tr> <td colspan="2"><b>Total Amount of Payment:</b></td> <td colspan="2"><b>\$25.00</b></td> </tr> </table>		Small Entity Fee	Large Entity Fee	Description	50	25	Surcharge - late provisional filing fee or cover sheet	130	65	Surcharge - Late nonprovisional filing fee or oath	180	180	Submission of IDS	40	40	Recording each patent assignment per property (times number of properties)	110	55	Extension for reply within first month	400	200	Extension for reply within second month	920	460	Extension for reply within third month	1,440	720	Extension for reply within fourth month	1,960	980	Extension for reply within fifth month	740	370	Submission After Final 1.129	320	150	Notice of Appeal	320	150	Filing a brief in support of an appeal	280	140	Request for oral hearing	110	55	Terminal Disclaimer Fee	130	130	Petitions to the Commissioner	50	50	Petitions related to provisional applications	1,280	640	Utility/Reissue Issue Fee (including advance copies)	460	230	Design Issue Fee (Inc. advance copies)	740	370	Request for Continued Examination (RCE)	300	300	Publication fee for early, voluntary, or normal publication	300	300	Publication fee for re-publication	110	55	Petition to Revive - unavoidable	1,280	640	Petition to Revive - unintentional	OTHER FEE	\$25.00:	Request for Corrected Filing Receipt	\$25.00	<b>Subtotal (3)</b>		<b>\$25.00</b>		<b>Total Amount of Payment:</b>		<b>\$25.00</b>	
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## Submitted by:

Name: Daniel G. Chapik

Reg. No.: 43,424

Telephone: (612) 343-7955

Signature: 

Date: 11/24/02

Docket: 14036

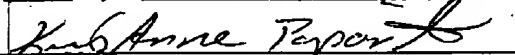
## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Appln. No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

## REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents  
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U. S.  
mail addressed to: Commissioner for Patents, Washington, D.C.  
20231 on this 20 day of November, 2002.

  
KrisAnne Popovits

Dear Sir:

Please correct the filing receipt in the above-identified application as follows:

Under the heading **Domestic Priority data as claimed by applicant:**

Please delete the CIP reference 09/401,570 09/22/1999.

The Domestic Priority data reads,

"THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000  
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507  
AND A CIP OF 09/618,619 07/18/2000  
WHICH IS A CIP OF 09/401,570 09/22/1999  
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507"

and should be

-- THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000  
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507  
AND A CIP OF 09/618,619 07/18/2000  
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507 --

Application No.: 09/855,001

Docket No.: 14036

A check in the amount of \$25 is enclosed herewith to cover the correction fee. It is believed that no other fees are due in connection with this response. However, the Office is authorized to charge any deficiency in fees associated with this response to Deposit Account 04-1420.

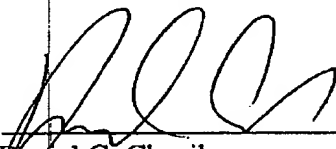
Respectfully submitted,

DORSEY & WHITNEY LLP

Date:

11/20/02

By:

  
Daniel G. Chapik  
Reg. No. 43,424  
Suite 1500  
50 South Sixth Street  
Minneapolis, MN 55402-1498  
(612) 343-7955

JHA/TRANSCOM  
OCT 25 2001 Page 1 of 2

KAP



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/855,001	05/14/2001	3626	902	14036	10	63	7

CONFIRMATION NO. 1209

## UPDATED FILING RECEIPT



\*OC000000006948772\*

James H. Ackley  
Dorsey & Whitney LLP  
220 South Sixth Street  
Minneapolis, MN 55402-1498

Date Mailed: 10/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Michael R. Oldenburg, Madelia, MN;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000  
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507  
AND A CIP OF 09/618,619 07/18/2000~~WHICH IS A CIP OF 09/401,570 09/22/1999~~

WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507

(\*) Data inconsistent with PTO records.

Please delete this reference

## Foreign Applications

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: 01/31/2002

Non-Publication Request: No

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

Retrofittable severe duty seal for a shaft